A fractal root model applied for estimating the root biomass and architecture in two tropical legume tree species

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(Received 31 January 2003; accepted 20 August 2003)

Abstract – A fractal root model with parameter estimation based on pipe model theory was applied for studying root architecture of \textit{Erythrina lanceolata} and \textit{Gliricidia sepium} associated with crops in agroforestry. The results were compared with a theoretical volume-filling fractal model on scaling of plant vascular system. Scaling of root diameter at bifurcation followed same parameter values over the whole root system. The parameter values were approximately equal in both species. Length between two bifurcations could not be estimated according to volume-filling fractal principles, but an empirical regression was used. An empirical model was also applied for estimating bifurcation angles. These characteristics seem to respond strongly to local root environment, and their modelling calls for studying root-soil interactions. Agronomic applications of this study indicate the usefulness of an architectural approach for the study of belowground interactions in agroforestry systems.

\textit{Erythrina lanceolata} / \textit{Gliricidia sepium} / agroforestry / pipe model / self-similarity

1. INTRODUCTION

Root system studies are necessary for understanding the tree-crop interactions in agroforestry systems [15]. This objective calls for studies of three-dimensional (3D) root architecture, because sharing of soil space also strongly affects the sharing of soil resources [12].

Field description of root architecture is a challenging task, and most conventional root sampling techniques are inadequate. Auger sampling of known soil volumes provides reliable estimates of biomass and length distribution of fine roots [11, 18], but coarse roots are too sparsely situated in the soil to be reliably studied by this method; trench wall methods provide information on the local root distribution, but the laborious digging usually impedes the excavation of enough repetitions for quantitative estimates [3]; finally, the complete exposure of a root system provides all necessary information, but it may be prohibitively expensive if applied to several trees, and it may cause severe disturbance to the crop production. Due to these inadequacies, modelling techniques have been proposed to deal with the architectural description [5, 10]. Parameterisation and validation of a root architecture model requires the excavation of complete root systems, or at least large representative parts of them, but in a smaller scale than in purely descriptive methods.

Van Noordwijk et al. [21, 25] proposed that fractal geometry combined with parameter estimation based on the pipe model theory [19] could be applied for describing tree root architecture. The pipe model of vascular plants is shown to be a special case of the more general fractal branching and scaling pattern in a
variety of biological systems [26], and it thus is a valid basis for estimating the fractal parameters of a root system. Further, it has been proposed that based on fractal geometry assumptions, an index of tree root competitiveness may be estimated by exposing the proximal roots, or roots directly attached to the root collar, and measuring their vertical insertion angle and diameter. Competitiveness index is calculated as the ratio of the sum of squared diameters of horizontal roots (insertion angle < 45°) to the squared diameter of the stem [24]. If the fractal assumptions hold, the index provides a simple means to estimate tree root competitiveness.

The self-similarity principle [13] defines an object that maintains similar form over a range of scales. This principle applied to a tree root system predicts that roots follow the same bifurcation pattern from proximal roots to the smallest transport roots. Water and nutrient absorbing fine roots are not considered here, as they are functionally different from the water and nutrient transporting coarse roots and may follow different growth patterns [20]. The basic parameters of fractal root models describe the ratio of the sum of root cross-sectional areas after a bifurcation to the cross-sectional area before bifurcation, \( \alpha \), and the distribution of the cross-sectional areas after bifurcation, \( q \), [21, 25].

Independency of ratios \( \alpha \) and \( q \) on root diameters has been observed in tropical legume trees over a large range of diameters [16, 23]. However, large variability within the whole root system was observed, which affected the precision of the root length and biomass estimates and the architecture generated by the model. Further, the model had low aptitude for predicting root lengths, and other fitting possibilities need to be studied [16]. West et al. [27] presented a general fractal allometric model for vascular plants, which takes into account the tapering of the water conducting vessels. Model parameters are derived from fractal geometry and hydrodynamics rather than from empirical observations. The model has been developed for plant shoots. As transport roots form part of the same water conducting system, similar allometric principles should apply to root and shoot.

In addition to these aspects related to the improvement of \( \alpha \), \( q \) and root length prediction, there is a need to investigate the global relevance of these concepts when applied to other species. The objective of our contribution is to research the ways of improving the accuracy of the fractal root model as formulated by van Noordwijk et al. [21, 25] for describing the architecture of a tree root system, and predicting root biomass and length. We applied the model to two tropical legume trees, Erythrina lanceolata Standley (Papilionaceae: Phaseoleae) and Gliricidia sepium (Jacq.) Kunth ex Walp. (Papilionaceae: Robinieae). We also studied relationships between scaling parameters of the models of van Noordwijk et al. [21, 25] and West et al. [27], and the applicability of the latter for predicting average link length of lateral roots.

### 2. MATERIALS AND METHODS

#### 2.1. The fractal root models

Topology of the root system of a *G. sepium* or an *E. lanceolata* was described as a network of connected links whose length and diameter are root order dependent [21, 25]. At a given bifurcation event, a root segment, or link (order \( n \), link \( i \)) is divided into several new links that forms the next higher order (order \( n + 1 \), link \( j \)).

Root architecture is designed using a recursive algorithm [16]. The algorithm is applied until the final branch of the network, roots of minimum diameter \( D_{b2} \) are reached. Here, we applied the \( D_{b2} \) of 5 mm. The scaling factor \( \alpha \) is defined as the ratio of the square of root diameter before bifurcation \( (D_i^2) \) to the sum of squares of the diameters of bifurcating roots \( (\Sigma D_j^2) \):

\[
\alpha = \frac{D_i^2}{\Sigma D_j^2}. \tag{1}
\]

The allocation factor of root cross-sectional area, \( q \), is estimated:

\[
q = \max\left(\frac{D_j^2}{\Sigma D_k^2}\right). \tag{2}
\]

Mathematical details are provided in [21, 25], and the model algorithm is described in [16].

Root bifurcation angle was estimated stochastically from field data. First, the relative frequency distribution of both vertical and horizontal bifurcation angles in 0.175 rad (10°) classes was generated from field data. From this distribution, the cumulative frequency range that corresponds to each class was computed. A random number between 0 and 100 was generated using the ‘runif’ function of the S-Plus software [22]. The random number was compared with the cumulative frequency series, and the angle within the probability range of which the random number corresponded, was used as the angle of the following bifurcation. This algorithm provides reliable estimates of the root bifurcation angles independently of the form and density of the angle distribution, and no fitting of a theoretical distribution to data is necessary.

Full application of the model of West et al. [27] would require information on vessel diameter that was not available. However, scaling of the total cross-sectional area before and after bifurcation is comparable between the model presented above and that of West et al. [27]. The scaling is estimated:

\[
n A_b / A_h = n^{1-a} \tag{3}
\]

where \( A_h \) is the average cross-sectional area of bifurcating roots, \( A_b \) is the cross-sectional area before bifurcation, \( n \) is the number of new links and \( a \) is a scaling parameter. When \( a = 1 \), the model reduces to the classical area preserving pipe model [19]. The ratio \( n A_b / A_h \) is equal to \( \Sigma D_i^2 / D_b^2 \). Thus:

\[
\alpha^{-1} = n A_h / A_h. \tag{4}
\]

Introducing \( \alpha \) to equation (3) gives:

\[
\alpha^{-1} = n^{1-a}. \tag{5}
\]

Equation (5) simplifies to:

\[
n = \alpha n. \tag{6}
\]

The parameter \( a \) is thus the \( n \)-based logarithm of the product \( \alpha n \):

\[
a = \log_n \alpha n. \tag{7}
\]

For a volume-filling network, the relationship between link length of order \( i + 1 \) (\( l_{i+1} \)) and \( i \) (\( l_i \)) is related to the number of new links (\( n \)) [27]:

\[
l_{i+1} / l_i = n^{-1/3}. \tag{8}
\]

In this study, the value of \( n \) is 2 for all applications of equations (3) to (8).

#### 2.2. Field observations for model parameterisation

##### 2.2.1. Measurements

Field measurements for model parameterisation differed slightly between *E. lanceolata* and *G. sepium*. However, the variables measured
in exposed root systems were similar for both species: (i) link order and number following the topology presented in [16], (ii) link diameter before each bifurcation, and diameters of the bifurcating links, (iii) link length \( L_c \), (iv) vertical and horizontal angle between the bifurcating links (bifurcation angle) to closest 10°, (v) stem diameter above the root collar of the measurement trees, and (vi) relationship between fresh root volume and dry mass.

### 2.2.2. Erythrina lanceolata experiment

The field data on *Erythrina lanceolata* was measured in the experimental farm of the Costa Rican Ministry of Agriculture and Animal Husbandry (MAG) close to Quepos, Costa Rica (9° 26' N, 84° 09' W, 20 m a.s.l.). In Damas (9° 03' N, 84° 13' W, 6 m a.s.l.), the closest meteorological station of the Costa Rican National Meteorological Institute, the 15-yr monthly average of temperature maxima vary from 30 °C (November) to 32 °C (February through April) and minima from 21 °C (January) to 23 °C (April through June). Annual rainfall varies from 3 000 to 4 000 mm, with a relatively dry season from January through March, when potential evapotranspiration exceeds precipitation. The soil characteristics are summarised in Table I.

**Table I.** Chemical soil characteristics of the study sites.

<table>
<thead>
<tr>
<th>Site</th>
<th>pH in water</th>
<th>Cation exchange capacity (cmol(+)/L⁻¹)</th>
<th>Total nitrogen (g·kg⁻¹)</th>
<th>Available phosphorus (mg·L⁻¹)</th>
<th>Soil order</th>
</tr>
</thead>
<tbody>
<tr>
<td>Quepos, Costa Rica</td>
<td>5.3</td>
<td>29.9</td>
<td>1.5</td>
<td>9.1</td>
<td>Oxisol</td>
</tr>
<tr>
<td>Prise d’Eau, Guadeloupe</td>
<td>6.1</td>
<td>17.5</td>
<td>2.3</td>
<td>85.8</td>
<td>Inceptisol</td>
</tr>
</tbody>
</table>

*a* Ammonium acetate (pH 7) method; *b* Kjeldahl method; *c* Olsen method.

### 2.2.3. *Glinicidia sepium* experiment

Field data on *Glinicidia sepium* was measured at the experimental farm of the Antillean Research Centre of the Institut National de la Recherche Agronomique (INRA) in Prise d’Eau, Guadeloupe (16° 12’ N, 61° 39’ W, 125 m a.s.l.). The monthly average of temperature maxima varies from 28 °C (December through March) to 30 °C (August through October) and minima from 19 °C (January) to 23 °C (June through September). Annual rainfall is ca. 2 500 mm, with a relatively equal distribution throughout a year, but potential evapotranspiration may exceed precipitation between January and April. The soil characteristics are summarised in Table I.

**Table I.** Chemical soil characteristics of the study sites.

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*a* Ammonium acetate (pH 7) method; *b* Kjeldahl method; *c* Olsen method.

### 3. RESULTS

#### 3.1. Parameter estimation from field data

Uniformity of the scaling factor \( \alpha \) and allocation factor \( q \) (Eqs. (1) and (2), respectively) within the root systems of *E. lanceolata* and *G. sepium* was tested with respect to link diameter before bifurcation and link order (Fig. 1). The differences in the values of \( \alpha \) and \( q \) between link orders 1, 2, 3 and \( \geq 4 \) were tested by analysis of variance for whole data set, and by orthogonal contrasts for the differences between selected pairs of link orders. The effect of link diameter on the parameters was studied by linear regression.
No significant differences were detected in the value of $\alpha$ between the link orders, and the proportion of explained variance, $r^2$, was 0.02 for both species. The regression between link diameter and $\alpha$ was not significant for *E. lanceolata* ($P = 0.087, r^2 = 0.02$), nor for *G. sepium* ($P = 0.921, r^2 = 0.00$). In *G. sepium*, significant differences in the value of $q$ were detected between link orders, but the $r^2$ was low at 0.06. In *E. lanceolata*, the value of $q$ did not show any dependence on link order ($P = 0.991$). The regression between link diameter and $q$ was not significant for either species ($P = 0.081, r^2 = 0.03$ and $P = 0.181, r^2 = 0.01$ for *E. lanceolata* and *G. sepium*, respectively). These analyses indicated that the variation observed in the values of $\alpha$ and $q$ (Fig. 1) is random, and we decided to use the general average values for the whole root system. The $\alpha$ values for *E. lanceolata* and *G. sepium*, 1.15 and 1.14, respectively, correspond to value 1.19 for parameter $\alpha$ in the model of West et al. [27], when two new links are formed in each bifurcation ($n = 2$ in Eqs. (3–7)).

In *E. lanceolata*, the average link length varied from 15 cm in order 6 to 75.7 cm in order 1. In *G. sepium*, the average link length varied from 2 cm in order 7 to 39.3 cm in order 1. *Erythrina lanceolata* had more proximal roots than *G. sepium*, and they were thicker in root collar (Tab. II). There was large variation in proximal root length of both species but, on average, the proximal roots of *E. lanceolata* were twice as long as those of *G. sepium*.

Two models were fitted to the data on observed average link length. Observed length of proximal roots (order 1) was used as the starting point for the theoretical volume-filling estimate (Eq. (7)). The estimated length of order 2 was used for estimating length of order 3, and the procedure was repeated until order 7 (Fig. 2). An empirical logarithmic regression was also fitted to the data (Tab. II). The fit of the empirical regression was excellent for *G. sepium* and satisfactory for *E. lanceolata* from link order 1 through 7 (Tab. II and Fig. 2). Because the volume-filling model used the observed length of order 1 as starting point, its fit was evaluated for orders 2 through 7, the lengths of which were real estimates. The proportion of explained variance, $r^2$, of the volume-filling model was 0.43 for *G. sepium* and 0.57 for *E. lanceolata*. The $r^2$ values of the empirical model for orders 2 through 7 were 0.98 and 0.62 for *G. sepium* and *E. lanceolata*, respectively. Because of the better fit, the empirical model was used for estimating link length in all simulations reported here.

In 86.4% of all root bifurcation events in *E. lanceolata* and 90% in *G. sepium*, only one lateral root bifurcated from the main axis. In all other events in *E. lanceolata*, two laterals were formed, while a few cases with more than two laterals were observed in *G. sepium*.
The vertical bifurcation angle distributions differed strongly between the two legume tree species. In *E. lanceolata*, laterals continued the growth in the same soil horizon as the main root in more than half of the bifurcations. Almost no roots were oriented up from the main link (Fig. 3). In *G. sepium*, the vertical angles were quite evenly distributed over the whole range from negative (down) to positive (up) straight angle. The horizontal bifurcation angle was ≤ 0.175 rad (10°) in ca. 40% of bifurcation events in both species; the rest were quite evenly distributed between 0.349 and 1.571 rad. Neither vertical nor horizontal bifurcation angle distribution was normal (Shapiro-Wilk test at 0.1%). Attempts to fit skewed theoretical distributions like gamma and Weibull distribution to the bifurcation angle data also failed, and the bifurcation angle was estimated stochastically from the data in Figure 3 using the procedure described in Section 2.1.

Proximal roots of *E. lanceolata* were conic (Fig. 4). A strong regression between the squared root diameter at root collar and the squared root diameter at first bifurcation was observed, although there were a few roots shorter than 40 cm without any conicity. The conicity of the proximal roots of *G. sepium* was not significant; hence, it was not included in the simulation model. The regression between the root volume and root dry mass was strong (Tab. II) for both tree species.

Linear regression between the squared stem diameter and the sum of squared proximal root diameters was significant (*P* < 0.001) for both species, but the regression coefficient was much higher for *G. sepium* than for *E. lanceolata* (Fig. 5). The size differences between the two legume tree species were apparent: the maximum squared stem diameter was five times, and the sum of squared proximal root diameters was four times bigger in *E. lanceolata* than *G. sepium* (Fig. 5).

Competitiveness index was calculated as the ratio of the sum of squared diameters of horizontal roots (insertion angle < 45°) to the sum of squared diameter of all proximal roots. The proximal roots of *E. lanceolata* were more vertically oriented than in *G. sepium*, which was also reflected in competitiveness indices (Tab. II). Mean ± SD of the index for *E. lanceolata* was 0.75 ± 0.17 and 0.89 ± 0.13 for *G. sepium*. By pruning regime, the mean ± SD of competitiveness index in *E. lanceolata* was higher in the intact trees, 0.87 ± 0.03, than under P-3 and T-6 pruning regimes, 0.68 ± 0.09 and 0.69 ± 0.26, respectively.

### 3.2. Model results

In the simulations, the link diameter was generated according to the bifurcation rules determined by equations (1) and (2). Thus, comparison of observed and simulated average link lengths provides a test of the accuracy of the simulations. Because the first order or proximal root diameters were based on field data, the comparison was made from second order roots to the highest order with enough field data for calculating standard deviations when the *E. lanceolata* data was divided by pruning.
Test at 5%. Simulated root biomass, total root length and was used as covariable, followed by Duncan’s Multiple Range regime was the class variable and the number of proximal rootsences were found without the covariable.

under either pruning regimes (Tab. III). No significant differ-

produced in the intact trees. Simulation on Gliricidia about 2.0 m deep, although some deeper individual roots were produced in the intact trees. Simulation on G. sepium typically resulted in about 1.0 m deep root systems. The horizontal radius

Assuming the average radius of 2.18 m (e.g. tree 6 in Fig. 7),

typical of the root system of an E. lanceolata tree was typically between 2.0 and 3.0 m, and of a G. sepium between 1.5 and 2.5 m. The main roots followed quite a superficial pattern in both species, but lower order links were quite deep.

The estimated radius of the root system of each E. lanceolata tree reached the root collar area of two other trees (Fig. 7). Assuming the average radius of 2.18 m (e.g. tree 6 in Fig. 7),
the root system of each tree would have some overlap with the root systems of 10 other trees under the illustrated P-3, which had intermediate total root length among the studied pruning regimes (Tab. III). The estimated radius of the root system of each *G. sepium* tree reached the root collar area of five other trees (Fig. 7). Assuming the average radius of 1.55 m, the root system of each tree would have some overlap with the root systems of 15 other trees.

4. DISCUSSION

4.1. Fractal scaling of root system

Values of the scaling parameter $\alpha$ (1.15 and 1.14 for *G. sepium* and *E. lanceolata*, respectively) and allocation parameter $q$ (0.72 and 0.77) were very similar. General root architecture of the two species was quite similar, best described as dichotomous with uneven link diameters. This suggests that this kind of root architecture scales with approximately equal parameters in different tree species. However, van Noordwijk and Purnomosidhi [23] found general mean ± SD of 1.33 ± 0.538 for $\alpha$ in 29 multipurpose tree individuals that belonged to 19 species. They did not discuss any trends in relation to general root architecture. The general mean ± SD of $q$ was 0.86 ± 0.148. The values of $\alpha$ and $q$ did not depend on link order or diameter in our study nor in [23].

The model of West et al. has been applied for scaling root biomass [8], but the scaling of vascular system is derived only for shoots [27]. We found a close relationship between the values of parameter $\alpha$ of van Noordwijk et al. [21, 25] and $a$ of West et al. [27]. This implies the latter model may be applied...
Attempts to use theoretical distributions for predicting root bifurcation angle failed. Only the stochastic algorithm based on observed bifurcation angle distribution worked well. Further, differences in bifurcation angle distribution, especially vertical angles, produced the main architectural differences between the two species studied. While link diameter relationships before and after a bifurcation can be derived from hydrodynamics [27] and they appear to be genetically determined by the properties of the vascular tissue [reviewed in 29], bifurcation angles may respond to the patchy soil environment where water and nutrients are unequally distributed. Several studies [1, 4, 9, 12, 28] indicate preferential root growth towards nutrient rich patches in soil. We may thus hypothesise that root bifurcation angles are strongly modified by responses to the soil environment and morphological plasticity of the roots.

4.3. Root competitiveness index

The root competitiveness index calculated as the relationship between the sum of squared diameters of horizontal proximal roots and squared stem diameter [24], or between the squared diameters of horizontal and all proximal roots is based on the assumption that the roots continue to grow in the same direction as observed close to the root collar. The assumption seemed to hold for *E. lanceolata*, lateral roots of which continued growth in the same soil horizon as the main root. In *G. sepium*, lateral roots tended to grow up or downwards from the main roots (Fig. 3). The usefulness of a competitiveness index based on proximal roots seems to depend on the general architecture of the tree root system. Considering the potential plasticity of the root system architecture in response to the soil environment, the evaluation of the usefulness of the index requires more field research.

The squared stem diameter was strongly correlated with the sum of squared proximal root diameters in *G. sepium*, but the regression was weak in *E. lanceolata* (Fig. 5). This may have been caused by formation of heartwood in older and bigger *E. lanceolata* trees. After the formation of heartwood begins, the simple pipe model relationship holds only if applied to sapwood relationships [29]. Thus, it was also justified to replace the squared stem diameter by the sum of squared diameters of all proximal roots in the calculation of the competitiveness index.

Van Noordwijk and Purnomosidhi [23] calculated the ratio of the sum of squared vertical root diameters to sum of squared diameters of all proximal roots of 19 multipurpose tree species in Southeast Asia. This index can be converted to the competitiveness index used in this study (Tab. II) by subtracting it from one. Only six species out of 19 had an index below 0.5, i.e. majority of their roots were vertical. *Erythrina lanceolata* would rank between the tenth and 11th most shallow root system in [23], or close to the average. *Gliricidia sepium* studied in Indonesia ranked the ninth most shallow, while *G. sepium* in Guadeloupe (this study) would rank between third and fourth in [23].

4.4. Considerations for agroforestry practices

Roots of both *G. sepium* and *E. lanceolata* overlapped between individual trees in the studied spatial arrangements (Fig. 7), suggesting intra-row competition between the trees. Root system overlap was more important in the bigger *E. lanceolata*. The root systems of *E. lanceolata* grown in 2 × 3 m spacing were relatively circular (Fig. 7), while the denser *G. sepium* rows (0.7 × 3 m) reduced root extension within row and increased occupation of the inter-row spaces (Fig. 7). Roots of both species occupied completely the inter-row, or crop, space. This may have both positive and negative consequences for crop production in agroforestry systems. Presence of *G. sepium* roots appears to enrich soil with N [6], but trees may compete for other nutrients and water with the crop. Dinitrogen fixation in *E. lanceolata* seems to be sensitive to shoot pruning [17], and competition even for soil N may occur following the pruning [14].
Both partial and complete pruning appeared to restrict root growth in *E. lanceolata* (Tab. III). This probably reflects the whole life history of *E. lanceolata* in the study site; intact trees had been growing without pruning since establishment of the plantation, while both pruned treatments were also pruned earlier. The 18-month-period of current pruning practices was not long enough to produce significant differences in coarse root biomass or length between the partial and complete pruning treatments. Both foliage production and fine root biomass were significantly lower under complete than partial pruning management [2]. The latter are sensitive indicators on short-term stress, and it appears that the coarse root biomass and general root architecture respond conservatively to tree management.

### 4.5. Concluding remarks

Root systems of both *G. sepium* and *E. lanceolata* appeared to be fractal. Both the scaling parameter $\alpha$ and allocation parameter $q$ had about the same value in both species. The theoretical model based on volume-filling fractals [27] failed to fit to the data on link length, and an empirical regression describing the link length as a function of link order best fitted to the data. Root bifurcation angles seemed to respond flexibly to soil environment and attempts to fit any theoretical distribution or regression for estimating them failed. It is possible that proper modelling of link length and bifurcation angle requires consideration of root functions and their response to local soil environment. Lateral roots of *E. lanceolata* continued their growth in the same soil layer as the main root, but laterals of *G. sepium* often grew towards different soil horizons. The latter observation casts some doubt on the validity of the root competitiveness index that is based on the vertical orientation of proximal roots. Root systems of both species also occupied the crop growing space in the studied agroforestry practices. Pruning management appeared to check coarse root growth over medium-term, but no short-term effects of pruning on coarse root biomass and architecture were detected. The simple fractal root model of van Noordwijk et al. [21, 25, see also 16] applied in this study appears to be practical for root studies in agroforestry. The application of the general fractal allocation model of West et al. [7, 8, 26, 27] to root systems also seems to be worth of further research.

Acknowledgements: We thank Ing. Agr. José Mattey Fonseca, director of the MAG experimental station in Quepos, Prof. Carlos Ramírez and Dr Frank Berninger for good cooperation during the field work, and Mr Asdrúbal Chacón for field assistance. The study was financed by the Academy of Finland (Research Grant 28203).

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